

REDD + ANNUAL COUNTRY PROGRESS REPORTING (with semi-annual update)

COUNTRY: Papua New Guinea

PERIOD: June 2016-July 2017

1. SUMMARY OF REPORT

This section should provide a short description of FCPF support in country (bullets on FCPF-financed activities only). Information should summarize progress, key achievements with a focus on higher level results and important issues/problems that arose during the reporting period. Highlights of next steps in following period should also be provided (key bullets only).

SUMMARY:

- PNG's FCPF REDD+ Readiness project has been in operation for two years and has made significant progress in bringing stakeholders together across the political and institutional spectrum in PNG as part of the REDD+ readiness process. The project has built on the work initiated by the UN-REDD Programme and has worked closely with FAO and other partners in the development of all four REDD+ elements. A combination of capacity building events, technical assessments and policy dialogue has brought together key decision makers across the major departments of government relevant for REDD+, as well as civil society and private sector actors, to develop a high-level consensus on how REDD+ can be implemented in PNG.
- The potential for REDD+ implementation in PNG has been significantly enhanced, and the government's commitment to REDD+ development strengthened, by support of the FCPF REDD+ Readiness project. The project has contributed to strengthening inter-agency coordination on REDD+ through broad stakeholder consultations and capacity building events. At the mid-term of its implementation, the project was found to be "progressing well" towards the achievement of project outcomes and outputs (FCPF PNG Independent Mid-term Review Report, December 2016).
- The presentation on REDD+ readiness at the UNFCCC's 22nd Conference of the Parties (COP 22) in Marrakesh in November 2016 was an important milestone and demonstrates that the Government of PNG is on track to finalising the readiness phase and will be able to move to implementation phase in the coming years (in the context of adequate and predictable financial support, particularly for REDD+ investments).
- In 2015, the FCPF REDD+ Readiness Project supported the development of a REDD+ Issues and Options Paper in order to set out proposals for the overall framework for REDD+ in PNG and initiated discussions on priority Policies and Measures (PAMs) to address the drivers of forest cover change in the country.
- Based on the results of Issues and Options Paper, FCPF supported the development of PNG's National REDD+ Strategy (NRS) through a consultative process, which was endorsed by the Government of PNG in May 2017. Per the NRS, PNG's vision for REDD+ is "To catalyse transformational change within the forest and land use sectors towards a new responsible economy with lower GHG emissions, stronger long term economic growth and community livelihoods and the effective conserve biodiversity and ecosystem services while ensuring that

Papua New Guinea's forest resources are used in a sustainable and equitable manner for the benefit of current and future generations".

- The FCPF REDD+ Readiness project has made significant progress in delivering early project progress, and through strong government buy-in and effective management, is progressing as one of the most efficient and effective development partner projects in the country.
- The Government of PNG, with support from UNDP, submitted a proposal to FCPF for additional USD 5m funding (FCPF-2) which was approved by the Participant's Committee in early 2017. The request for additional funding was also supported by the FCPF Project Executive Board (PEB) members following the presentation of mid-term results achieved by the project.
- The additional funding will add two outcomes to the current FCPF project on support to PNG's National Forest Monitoring System (NFMS) and Forest Reference Level (FRL), currently being implemented by PNG Forest Authority with partial support from FAO and the European Union (EU).
- Next steps in PNG's REDD+ readiness process include the development of a National REDD+ Finance and Investment Plan for the implementation of the NRS, and the continuation of capacity building to effectively manage and implement REDD+. In addition, FCPF-2 will support initial engagement and capacity building at the provincial level to prepare the ground for large-scale REDD+ investments.

2. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention "does not apply – n/a".

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):	
<u>Source:</u> N/A	<u>Amount provided:</u> N/A
<u>Source:</u> N/A	<u>Amount provided:</u> N/A
<u>Source:</u> N/A	<u>Amount provided:</u> N/A
Amount of non-FCPF investments received for implementation of activities relevant to ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.2.B.i.):	
<u>Source:</u> N/A	<u>Amount provided:</u> N/A
<u>Source:</u> N/A	<u>Amount provided:</u> N/A
<u>Source:</u> N/A	<u>Amount provided:</u> N/A

Describe how stakeholders are participating and engaging in REDD+ decision making processes (FCPF M&E Framework Indicator I.3.A):
<p><u>Provide examples of how IPs and CSOs are represented in institutional arrangements for REDD at the national level</u></p> <p>The FCPF REDD+ Readiness project supports the operations of the National REDD+ Strategy (NRS) Technical Working Committee (TWC), Safeguards TWC and MRV TWC, established by the Climate Change Development Authority (CCDA). The TWCs are multi-stakeholder technical and advisory forums created to contribute to the development and implementation of the NRS by providing feedback, sharing information, and increasing policy dialogue and collaboration. Eco-Forestry Forum (EFF), an umbrella organization with a membership of over 15 environmental, governance and social NGOs and CBOs working in different provinces throughout PNG has a representative who sits on various TWC as well as the FCPF Project Executive Board (PEB). The main role of the NRS TWC is to review and provide input and decisions on technical options for REDD+.</p> <p>In addition to TWC meetings, the FCPF project has facilitated the organization and delivery of REDD+ expert training events since June 2015. These events have been used to train a core of experts on REDD+ from across government sectors, NGOs, academia and the private sector. They have also been used as forums for consulting on important products from PNG's REDD+ readiness phase including the Issues and Options paper on PNG's National REDD+ Strategy. In March 2017, stakeholders were brought together to provide inputs and agree on the final contents of PNG's National REDD+ Strategy.</p>
Examples of stakeholder engagement platforms in country which meet regularly to discuss and

provide inputs to the REDD+ readiness process (FCPF M&E Framework 3.2.a.):

<p><u>Frequency:</u> Quarterly</p>	<p>The NRS Technical Working Committee (TWC), Safeguards TWC and MRV TWC meet quarterly. FCPF continues to provide support to these meetings.</p>
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Examples of resources made available to enable active participation of IPs , CSOs and local communities in national REDD+ readiness.

The expenses incurred by IPs and CSOs to participate in meetings of the TWCs are met by the FCPF REDD+ Readiness project.

Number and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (FCPF M&E Framework Indicator I.3.B.):

Number of policy reforms during the reporting period that are:

Underway:

Work is underway through structured stakeholder consultations to develop an improved regulatory framework and enforcement mechanisms for PNG's palm oil sector, and to identify best strategies to resolve land access and concession issues. The palm oil policy framework that is being developed will underpin the establishment of PNG's first multi-stakeholder palm oil platform (PNGPoP). The platform is expected to directly address some of the key challenges faced by the sector in PNG by increasing dialogue, transparency, ownership and coordination of the sector, and create a multi-stakeholder national action plan for the long-term sustainability of palm oil. The platform will support and harmonize government policy that ensures a strong and coherent legal framework for the sustainability of palm oil production in PNG.

Completed:

PNG has gone through a process of REDD+ development that has sought to identify the drivers of deforestation and forest degradation, the barriers to address them, and key areas for action. This has been used as the basis for the development of a National REDD+ Strategy (NRS) that identifies intervention areas that will reduce deforestation and forest degradation, support efforts towards conservation, enhance forest carbon stocks and promote the sustainable management of PNG's forests while also supporting the objectives of national policies and strategies including the Strategy for Responsible and Sustainable Development (STARS). The key actions areas within the National REDD+ Strategy are:

- ***Strengthened land-use and development planning:*** (1) Strengthened and Coordinated National Level Development and Land Use Planning, (2) Integrated Subnational Planning
- ***Strengthened environmental management, protection and enforcement:*** (1) Strengthening climate change legislation, financing and management, (2) Strengthening forest management and enforcement practices, (3) Strengthening environmental management, enforcement and protection, (4) Strengthen access to information and recourse mechanisms:
- ***Enhanced economic productivity and sustainable livelihoods:*** (1) Development of a sustainable commercial agriculture sector, (2) Strengthened food security and increased productivity of family agriculture.

Please describe these policy reforms:

The NRS was developed through a process of structured consultation and awareness raising with key stakeholders from government agencies, civil society and the private sector. It builds on the experiences of early REDD+ readiness activities and the ongoing assessment and analysis work that has focused on key areas of action on REDD+ including reviews of forest sector financing, commercial agricultural development, stakeholder engagement processes and land use mapping. Much of this work was compiled in an Issues and Options Paper for REDD+ in PNG, which set out the key decision and action areas for REDD+ in PNG and was circulated widely for consultation, the feedback from which was central to creating the NRS, which was endorsed by the government of PNG in May 2017.

Design of national REDD+ Strategies addresses indicators for enhancement of livelihoods of local communities and for biodiversity conservation (FCPF M&E Framework Indicator 3.B.):

Provide examples of how national REDD Strategies address livelihoods of local communities and biodiversity conservation.

The FCPF project has been collaborating with the National Agricultural Research Institute (NARI) to undertake a survey to assess the current status of small scale agriculture in PNG and its role in rural livelihoods compared with other livelihood (including land use) options; provide a quantitative indication of the potential impacts of ongoing expansion of small-scale agriculture in PNG on forest areas and rural livelihoods, and identify potential 'hotspot' areas vulnerable to rapid expansion; provide recommendations on actions that can be taken to help incentivize sustainable land use planning and management practices while also strengthening community food security and rural livelihoods within forest areas. The Inception Report with concrete actions has been prepared and the assignment will be completed by the end of 2017.

3. PROGRESS AT R-PP sub component level

3.1. REDD Readiness Progress

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.): up to 300 words, if applicable

Progress made during the reporting period in developing the country Readiness Package:

An initial assessment of land use, land-use change drivers, forest law, policy and governance aimed to identify key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks. The assessment also addressed how shortcomings in current land use, and forest laws, policy and governance contribute to the drivers of deforestation and forest degradation and developed potential solutions. In addition, an assessment of forest law and land-use policy to review, assess and strengthen the legal framework for forest management is underway. This is closely related to the ongoing process of implementing the PNG's NRS through policies and measures.

















The project has completed an "Issues and Options" paper to identify key issues and options (including; potential areas for REDD+ development, data gaps, institutional strengths and challenges and work to address these) for development of the National REDD+ Strategy. The assessment identified a number of priority drivers of forest cover change in the country.

The findings built a body of information which supported the development of PNG's NRS. The Government of PNG, through the National Executive Council (NEC), endorsed the NRS in May 2017.

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Yes
	1b. Consultation, Participation, and Outreach	Yes
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	Yes
	2b. REDD+ Strategy Options	Yes
	2c. Implementation Framework	Yes
	2d. Social and Environmental Impacts	Yes
3. Reference Emissions Level/Reference Levels		No
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	Yes

Level of overall achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):

Planned Milestones:	Level of Achievement ¹ :	Tracking ² :															
National REDD+ Strategy	 <p>An Issues and Options paper for PNG’s National REDD+ Strategy has been completed, which identified key areas in which decisions need to be made and what information and options currently exists within these areas. Based on this, National REDD+ Strategy was developed and finally endorsed by the government of PNG in May 2017.</p>	<table border="1" data-bbox="971 317 1451 642"> <tr> <td data-bbox="971 317 1045 380"></td> <td data-bbox="1045 317 1127 380">X</td> <td data-bbox="1127 317 1451 380">Significant progress</td> </tr> <tr> <td data-bbox="971 380 1045 443"></td> <td data-bbox="1045 380 1127 443"></td> <td data-bbox="1127 380 1451 443">Progressing well, further development required</td> </tr> <tr> <td data-bbox="971 443 1045 506"></td> <td data-bbox="1045 443 1127 506"></td> <td data-bbox="1127 443 1451 506">Further development required</td> </tr> <tr> <td data-bbox="971 506 1045 569"></td> <td data-bbox="1045 506 1127 569"></td> <td data-bbox="1127 506 1451 569">Not yet demonstrating progress</td> </tr> <tr> <td data-bbox="971 569 1045 632"></td> <td data-bbox="1045 569 1127 632"></td> <td data-bbox="1127 569 1451 632">Non Applicable</td> </tr> </table> <p data-bbox="971 659 1187 695"><i>Please explain why:</i></p> <p data-bbox="971 709 1438 884">Issues & Options studies provided the basis for the development of National REDD+ Strategy and finally got endorsed by the government of PNG in May 2017.</p>		X	Significant progress			Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable
	X	Significant progress															
		Progressing well, further development required															
		Further development required															
		Not yet demonstrating progress															
		Non Applicable															

¹ Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+









² The level of achievement of planned milestones according to approved RF grant will be summarized through progress scores related to the synthesis of an overall achievement, qualitatively expressed on a four-color ‘traffic light’ scale and then explained. In case the assessment is not applicable, a fifth color scale “Non Applicable” can be selected.








This ‘traffic light’ scale is based on the system contained in the R-Package Assessment Framework

















Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.).
Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by July 15th each year

















	Sub-component	Progress against annual targets		Tracking ³ <i>(Please select your light rating)</i>
		Planned milestones	Achievements	


















³ The level of achievement of planned milestones per R-PP component should be self-assessed and reported, as well as summarized through progress scores related to the synthesis of this overall achievement, qualitatively expressed on a four-color 'traffic light' scale and then briefly explained. In case the assessment is not applicable, a fifth color scale 'Non Applicable' can be selected. This 'traffic light' scale is based on the system contained in the R-Package Assessment Framework, The R-Package assessment criteria are included to assist countries identify, plan and track their readiness preparations progress with the core aspects and desired outcomes of readiness preparation activities as contained in R-Package Assessment Framework.


















	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 1 – Readiness Organization and Consultation	<p>Sub-Component 1a – National REDD+ Management Arrangements</p> <p><i>Purpose: setting-up national readiness management arrangements to manage and coordinate the REDD-plus readiness activities whilst mainstreaming REDD-plus into broader strategies</i></p> <p><i>Country Self-Assessment Criteria: (i) accountability and transparency; (ii) operating mandate and budget; (iii) multi-sector coordination mechanisms and cross-sector collaboration; (iv) technical supervision capacity; (v) funds management capacity; (vi) feedback and grievance redress mechanism</i></p>	<p>-National REDD+ Steering Committee Established.</p> <p>-Continue support to the REDD+ TWC and its activities</p> <p>-Training events for TWC members and provincial authorities through REDD+ Expert Trainings</p>	<p> The project supported CCDA developed a clear strategic plan for the institutional restructuring process that would facilitate effective and efficient delivery of the Authority’s mission and mandate. This strengthens, CCDA’s role in overseeing action on climate change in PNG in line with the Climate Change (Management) Act (CCMA).</p> <p> Continued support for REDD+ TWC. ToR has been reviewed for widely commented and been finalised.</p> <p> There have been 6 REDD+ Expert Trainings conducted with more than 100 participants and will continue to provide to key stakeholders</p>			<i>Significant progress</i>
					X	<i>Progressing well, further development required</i>
					<i>Further development required</i>	
					<i>Not yet demonstrating progress</i>	
					<i>Non Applicable</i>	
			<p><i>Please explain why:</i></p> <p>The final recommendations for CCDA long-term strategic planning made, with the NEC decision confirming the Managing Director for CCDA. It is now with the management to implement the plan.</p> <p>This project aims to build national capacities to facilitate a coordinated national approach to REDD+ implementation that is in line with UNFCCC guidance. The project is focused on strengthening cross-sectoral coordination and engagement, capacity and understanding of REDD+ among national agencies and stakeholders.</p>			







Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
	Planned milestones	Achievements			
<p>Sub-Component 1b – Consultation, Participation, and Outreach</p> <p><i>Purpose: broad consultation with and participation of key stakeholders for future REDD+ programs, to ensure participation of different social groups, transparency and accountability of decision-making</i></p> <p><i>Country Self- Assessment Criteria: (i) participation and engagement of key stakeholders; (ii) consultation processes; (iii) information sharing and accessibility of information; (iv) implementation and public disclosure of consultation outcomes</i></p>	<p>-Stakeholder mapping and situation analysis and recommendations for improving gender inclusiveness and participation in national REDD+ Policies.</p>	<p> The gender report is widely circulated for comments. The stakeholders comments have been consolidated into a final report, which is now been incorporated into the REDD+ Strategy.</p> <p> The stakeholder mapping and engagement plan & situational analysis has been developed. Further work was done to map out key stakeholders’ participation in national REDD+ Policies.</p>		X	Significant progress
				X	Progressing well, further development required
					Further development required
					Not yet demonstrating progress
					Non Applicable
			<p><i>Please explain why:</i></p> <p>Institutional arrangements are fully functional and capable of coordinating the activities that involve multiple stakeholders with various historically conflicting aims and objectives. Project has supported this coordination with technical input and financial resources. Many different assignments (consultancy roles) undertaken by consultants (both international and national have been identified or engaged and in the process of delivering.</p>		







	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)															
		Planned milestones	Achievements																
R-PP Component 2 – REDD+ Strategy Preparation	<p>Subcomponent 2a: Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance</p> <p><i>Purpose: identification of key drivers of deforestation and/or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks</i></p> <p><i>Country Self- Assessment Criteria: (i) assessment and analysis; (ii) prioritization of direct and indirect drivers/barriers to forest enhancement; (iii) links between drivers/barriers and REDD+ activities; (iv) actions plans to address natural resource right, land tenure, governance; (v) implications for forest law and policy</i></p>	-Assessment on Issues & Options paper	 <p>The assessment of land use, land-use change drivers, forest law, policy and governance which identified key drivers of deforestation and/ or forest degradation, as well as activities concerning conservation, sustainable management of forests, and enhancement of forest carbon stocks. The assessment also addressed how shortcomings in current land use, and forest laws, policy and governance contribute to the drivers of deforestation and forest degradation and implementation of National REDD+ Strategy.</p>	<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td>X</td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development required</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p><i>Please explain why:</i></p> <p>The project has completed an “Issues and Options” paper to identify key issues and options (including; potential areas for REDD+ development, data gaps, institutional strengths and challenges and work to address these) for development of the National REDD+ Strategy. The assessment has identified a number of priority drivers of forest cover change in the country.</p>			Significant progress		X	Progressing well, further development required			Further development required			Not yet demonstrating progress			Non Applicable
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Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)															
	Planned milestones	Achievements																
<p>Subcomponent 2b: REDD+ Strategy Options</p> <p><i>Purpose: Develop a set of policies and programs for addressing the drivers of deforestation and/or forest degradation</i></p> <p><i>Country Self- Assessment Criteria: (i) selection and prioritization of REDD+ strategy options; (ii) feasibility assessment; (iii) implications for strategy options on existing sectoral policies.</i></p>	-Development of National REDD+ strategy	 National REDD+ Strategy was developed through a process of structured consultation and awareness raising with key stakeholders from government agencies, civil society and the private sector. It builds on the experiences of early REDD+ readiness activities in PNG and the ongoing assessment and analysis work that has focused on key areas of action on REDD+ including reviews of forest sector financing, commercial agricultural development, stakeholder engagement processes and land use mapping.	<table border="1"> <tr> <td></td> <td>X</td> <td>Significant progress</td> </tr> <tr> <td></td> <td></td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p><i>Please explain why:</i></p> <p>Much of NRS work was compiled in an Issues and Options Paper for REDD+ in PNG, which set out the key decision and action areas for REDD+ in PNG and was circulated widely for consultation and the feedback.</p>		X	Significant progress			Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable
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Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)															
	Planned milestones	Achievements																
<p>Subcomponent 2c: Implementation Framework</p> <p><i>Purpose: Set out credible and transparent institutional, economic, legal and governance arrangements necessary to implement REDD+ strategy options</i></p> <p><i>Country Self- Assessment Criteria: (i) adoption and implementation of legislation/regulations; (ii) guidelines for implementation; (iii) benefit sharing mechanism; (iv) national REDD+ registry and system monitoring REDD+ activities</i></p>	<p>-Development of PNG's National REDD+ Finance & Investment Plan.</p> <p>-Review options for REDD+ fund</p>	<p> The project has recruited team of consultants and they are currently working on the financial landscape and identifying opportunities to promote inter-sectoral planning, mainstream REDD+ objectives in national and sub-national planning and budgeting as well as fill existing knowledge and finance gaps which is critical while developing PNG's National REDD+ Financial Investment Plan.</p> <p> The Climate Change Management Act (2015) provides a mechanism to establish climate action based trust funds. At the moment, no action has been taken on assessing the benefit sharing distribution systems. The study on PAMs has been completed and the National REDD+ Financial Investment Plan is initiated which should provide the direction to the design of BDS and the REDD+ financing.</p>	<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td>X</td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td>X</td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p><i>Please explain why:</i></p> <p>The team of consultants are currently engaged to assess financial landscape and identifying opportunities to promote inter-sectoral planning, mainstream REDD+ objectives in national and sub-national planning and budgeting as well as fill existing knowledge and finance gaps which is critical while developing NRFIPPNG's NRFIP. Once the NRFIP is completed will provide direction to the design of BDS.</p>			Significant progress		X	Progressing well, further development required		X	Further development			Not yet demonstrating progress			Non Applicable
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Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)																	
	Planned milestones	Achievements																		
<p>Subcomponent 2d: Social and Environmental Impacts</p> <p><i>Purpose: Ensure compliance with the Common Approach and prepare a country specific Environmental and Social Management Framework (ESMF)</i></p> <p><i>Country Self- Assessment Criteria: (i) analysis of social and environmental safeguard issues; (ii) REDD+ strategy design with respect to impacts; (iii) Environmental and Social Management Framework</i></p>	<p>-Roadmap and stakeholder capacity building tool kit on safeguards developed.</p> <p>-Development of Grievance Redress Mechanism.</p>	<p> The REDD+ Safeguards gap analysis and road map was developed and endorsed by CCD.A. The Safeguards Legal Assessment was successfully completed and set of recommendations on Policies, Laws and Regulations (PLRs) have been developed. A preliminary identification of information needs assessment to understand the national context of the Cancun REDD+ safeguards in PNG has been completed.</p> <p> An initial assessment to scope potential designs for national and sub-national grievance redress mechanisms has been completed. The sub-national mechanisms will form an important component of the upcoming provincial engagement plans.</p>	<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td>X</td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table>			Significant progress		X	Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable		
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			<p><i>Please explain why:</i></p> <p>A Grievance Redress Mechanism (GRM) is a crucial, in which Country Approach to Safeguards (CAS) calls for the need to review existing legislative, institutional, compliance and enforcement frameworks of REDD+ relevant as part of the REDD+ readiness phase and a fundamental step towards the establishment of the SIS in PNG.</p>																	

	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 3 – Reference Emissions Level/Reference Levels	<p>R-PP Component 3 - Reference Emissions Level/Reference Levels</p> <p><i>Purpose: Development of the general approach to establish a REL/RL</i></p> <p><i>Country Self-Assessment Criteria: (i) demonstration of methodology; (ii) use of historical data, and adjusted for national circumstances; (iii) technical feasibility of the methodological approach, and consistency with UNFCCC/IPCC guidance and guidelines</i></p>	N/A	<p> Not included under FCPF grant activities.</p> <p>Although the FCPF project is only focused on two of the REDD+ design elements highlighted in the R-PP (National Strategy and Safeguards and Safeguards Information Systems), it has also been significantly contributing to the other design elements (FREL and NFMS). This has been achieved through close collaboration with PNGFA as well as UN-REDD/FAO project staff supporting those elements.</p>			Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
					X	Non Applicable
				<p>And explain why:</p> <p>FCPF R-PP is basically working on REDD+ Strategy/Action Plan and Safeguard and Safeguards Information Systems. FCPF project has been very instrumental in providing technical support and guidance on the submission of the FRL and NFSM.</p>		

	Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)		
		Planned milestones	Achievements			
R-PP Component 4: Monitoring Systems for Forests and Safeguards	<p>Subcomponent 4a: National Forest Monitoring System</p> <p><i>Purpose:</i> Design and develop an operational forest monitoring system and describe the approach to enhance the system over time</p> <p><i>Country Self- Assessment Criteria:</i> (i) documentation of monitoring approach; (ii) demonstration of early implementation; (iii) institutional arrangements and capacities- Forests</p>	N/A	 Not included in FCPF grant FCPF project is only focused on two of the REDD+ design elements highlighted in the R-PP (National Strategy and Safeguards and Safeguards Information Systems), it has also been significantly contributing to the other design elements (FREL and NFMS). This has been achieved through close collaboration with PNGFA as well as UN-REDD/FAO project staff supporting those elements			Significant progress
						Progressing well, further development required
						Further development
						Not yet demonstrating progress
					X	Non Applicable
<p>And explain why:</p> <p>FCPF R-PP is basically working on REDD+ Strategy/Action Plan and Safeguard and Safeguards Information Systems. FAO component of UN-REDD programme has worked on FREL/FRL and NFMS.</p>						

Sub-component	Progress against annual targets		Tracking ³ (Please select your light rating)															
	Planned milestones	Achievements																
<p>Subcomponent 4b: Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards</p> <p><i>Purpose: Specify the non-carbon aspects prioritized for monitoring by the country</i></p> <p><i>Country Self- Assessment Criteria: (i) identification of relevant non-carbon aspects, and social and environmental issues; (ii) monitoring, reporting and information sharing; (iii) Institutional arrangements and capacities – Safeguards</i></p>	<p>- Identification of relevant non-carbon aspects, and social and environmental issues</p> <p>-Monitoring, reporting and information sharing</p> <p>Institutional arrangements and capacities.</p>	<p> The project has made significant progress in bringing stakeholders together across the political and institutional spectrum in PNG as part of the REDD+ readiness process. The project has built on the work initiated by the UN-REDD Programme and has worked closely with FAO in the development of all four REDD+ elements. A combination of capacity building events, technical assessments and policy dialogue has brought together key decisions makers across the major departments of government relevant for REDD+, as well as civil society and private sector actors to develop a high-level consensus on how REDD+ can be developed in PNG. This work must now progress through further capacity building of key actors and development of specific REDD+ actions across sectors and geographical scales to develop a National REDD+ Strategy that is both strategic and implementable and to have the measuring, monitoring and safeguarding systems in place to support its implementation</p>	<table border="1"> <tr> <td></td> <td></td> <td>Significant progress</td> </tr> <tr> <td></td> <td>X</td> <td>Progressing well, further development required</td> </tr> <tr> <td></td> <td></td> <td>Further development</td> </tr> <tr> <td></td> <td></td> <td>Not yet demonstrating progress</td> </tr> <tr> <td></td> <td></td> <td>Non Applicable</td> </tr> </table> <p>And explain why:</p> <p>The FCPF project is also looking to work across climate change activity areas to help integrate actions on climate change mitigation and adaptation within local, provincial and national land-use planning. Such approaches are in line with government strategies for climate compatible and 'green' growth. Their development however also requires additional stakeholder engagement and coordination within and outside of government as well as across development partners to ensure that a coherent approach is developed.</p>			Significant progress		X	Progressing well, further development required			Further development			Not yet demonstrating progress			Non Applicable
		Significant progress																
	X	Progressing well, further development required																
		Further development																
		Not yet demonstrating progress																
		Non Applicable																

Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.):			
	Rate	Tracking	
RF Grant - disbursement rate vs. planned disbursements	 <p>The overall delivery is at about 60% which is extremely good at the mid-term of the project.</p>	<i>Please select your rating:</i>	
		 X <table border="1"> <tr> <td>Up to 10% variance with plans</td> </tr> </table>	Up to 10% variance with plans
		Up to 10% variance with plans	
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Non Applicable			





Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):			
	Rate	Tracking	
Total Budget in R-PP - disbursement rate vs. planned disbursements (including other funding sources and FCPF Readiness Grant)	 <p>The overall delivery is at about 60% and is on track with disbursement rate vs. planned disbursements. However, the co-funding from GoPNG was not captured throughout the project monitoring, but it is captured as cash and in-kind which office rental and utility services are met by CCDA.</p>	<i>Please select your rating:</i>	
		 <table border="1"> <tr> <td>Up to 10% variance with plans</td> </tr> </table>	Up to 10% variance with plans
		Up to 10% variance with plans	
		 <table border="1"> <tr> <td>Between 10-25% variance with plans</td> </tr> </table>	Between 10-25% variance with plans
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More than 40% variance			
 <table border="1"> <tr> <td>Non Applicable</td> </tr> </table>	Non Applicable		
Non Applicable			

3.2. Engagement of stakeholders within the approach to REDD +

Examples of actions/activities where IPs, CSOs, and local communities participate actively, if relevant (FCPF M&E Framework 3.1.a.):

<u>Action/activity:</u>	<u>Describe IP, CSO, and local community participation:</u>
National REDD+ training workshops conducted	<ul style="list-style-type: none"> • More than 120 Key decision makers from government, NGOs and the private sector attended the REDD+ trainings • June 2015 in Alotau, Milne Bay Province • November 2015 in Madang, Madang Province • March 2016 in Kimbe, West New Britain Province • July 2016 in Mt Hagen, Western Highlands Province • March 2017 in Kavieng, New Ireland Province <p>Participation of Civil Society Organizations (CSO), Non-governmental organizations (NGO) and private sector in the project are consistent throughout the NRS development process. They are actively involved in stakeholders' consultations, workshops, technical working committee and face-to-face meetings. The project with the support of CCDA, is serving as a consultative platform to ensure that all actors have capacity to effectively participate in REDD+ readiness and implementation.</p> <p>The CSOs also represent marginalized groups such as forest-dependent women, youth, Indigenous Peoples/landowners³ and local communities. The CSOs, represented by EFF, also have a seat in the PEB for activities related to project management, as well as in the TWCs.</p>

Number of IP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):

<u>Please list the training conducted:</u> Please list the training conducted:	<u>Duration (# of days)</u>	# of participants # of men / # of women		X	<i>Targets in terms of number of men and women to be trained by country to be defined</i>
National REDD+ Expert Training in Alotau, MP	2	8 women and 15 men			
National REDD+ Expert Training in Madang, Madang	3	11 women and 17 men			
National REDD+ Expert Training in Kimbe, WNB	3	9 women and 27 men			<i>Non Applicable</i>
National REDD+ Expert Training in Mt Hagen, WHP	3	8 women and 33 men			
National REDD+ Expert Training in Kavieng, NIP	4	16 women 48 men			

3.3. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
Yes/No: Yes	<p><u>Please provide the list of published REDD+ knowledge products, if any during reporting period :</u></p> <ul style="list-style-type: none"> • National REDD+ Strategy • Issues and Option paper summary report • Policy briefs • Gender Inclusive and participation • Sustainable Agricultural Commodities studies • Agriculture mapping assessment on land use suitability and deforestation model training • Draft analytical report - support to the development of a sustainable palm oil policy and platform in Papua New Guinea • REDD+ safeguards gap analysis and roadmap for Papua New Guinea • Country Approach to Safeguards and Design of Safeguard Information System: Summary of Decision Areas and Actions • Grievance Redress Mechanism Scoping Report

How many people have been reached by these knowledge products, if any:
<p><u>Overall number by product:</u> N/A</p> <p><u># of Men:</u> N/A</p> <p><u># of Women:</u> N/A</p>

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
Yes/No: No	<u>List the South-South learning activities:</u> N/A	<p><u># of men:</u>(IP/CSO representatives, private sector representatives)</p> <p><u># of women:</u> (IP/CSO representatives, private sector representatives)</p>

4. ISSUES, CHALLENGES AND RISKS

The major challenge now is the possibility of change of government with potential policy shifts which may affect most of the REDD+ activities already initiated under the previous administration. Also, the changes in ministries including the Ministry for Environment and Climate Change would possibly delay REDD+ implementation as it will take some time to brief the new minister (if such a change occurs).

Constraint/Problem:

- The recurrent budget from the national government to all government departments/line agencies including CCDA has been drastically reduced. This may impact the implementation of the CCDA Long-Term Strategic Planning for Organizational Restructuring.

Main causes:

- Delay in establishing National REDD+ Steering Committee at the national level to coordinate REDD+ implementation at the national level.
- Delay by national appointment committee to nominate NRSC.
- The national general election is underway (June-September 2017). This will cause delays until the formation of the new Government, and the appointment of Climate Change Board as well as the National REDD+ Steering Committee.

Expected Effect

- Delays in establishing NRSC by CCDA appointment committee (board) could slow National REDD+ Strategy/ other REDD+ related policy deliberations process.

Action Undertaking

- The project, with the support of CCDA would take an alternative option to seek legal advice and clearance from State solicitor's office, in terms of deliberating on NRSC appointment.

Risks

- Project risks are being monitored regularly as evidenced in the ATLAS updates. Some updates have been made to management plans and mitigation measures but these needs to be discussed in PMU and PEB meetings officially. At the moment, there are no critical risk that warrants follow up action to mitigate the risk. The reporting of progress via Quarterly Progress Reports (QPRs), Annual Progress Reports (APRs) and Quality Assurance Report in ATLAS is done well. The back stopping by UNDP Regional Office is done well with regular inputs and/or monitoring visits. Additionally, in compliance with the Common Approach, all BTORs (Back to Office Reports) related to technical support missions as well as ToRs for different assignments and Assessment Reports have been uploaded to the UNDP PNG Country Office website.
- The project has monitored risks every quarter, as evidenced by an updated risk log. The project risk log is monitored regularly with adequate mitigation measures including regular dialogue with Implementing Partners (IPs) and key stakeholders through the NRS and Safeguards and SIS TWCs.

5. MAIN LESSONS LEARNED

This section should be used to provide information on important lessons learned since the beginning of the readiness process. It is expected that this section will be fairly substantial, making reference to different lessons learned, analysis documents developed, and/or experiences made in dealing with issues of particular interest to REDD+.

- The institutional arrangements for REDD+ implementation require cross-sectoral stakeholder participation and engagement to effectively implement REDD+ in PNG.
- There is a need for stronger engagement and enhanced awareness of REDD+, forging of more effective relationships and additional focused training by CCDA and FCPF to key line agencies to produce a pool of REDD+ experts.
- Developing of Terms of Reference for different relevant assignments under the project should seek wider opinions from lead/core government agencies for greater participation, involvement and ownership.
- There is a need for the government to take ownership of climate change mitigation and adaptation programmes in the country to allocate funds in the long term, and promoting programmes that links to addressing livelihoods and food security issues affected by climate change.
- There is a need for harmonisation of sector policies and laws with each other to ensure a strong and coherent legal framework in PNG.